

## ABA Code of Ethics — Statement of Principles and Rules

### PRINCIPLE 1:

Certificants shall provide professional services and conduct research with honesty and compassion, and shall respect the dignity, worth, and rights of those served.

**Rule 1a:** Certificants shall not limit the delivery of professional services on any basis that is unjustifiable or irrelevant to the need for the potential benefit from such services.

### PRINCIPLE 2:

Certificants shall maintain high standards of professional competence in rendering services, providing only those professional services for which they are qualified by education and experience.

**Rule 2a:** Certificants shall use available resources, including referrals to other specialists, and shall not accept benefits or items of personal value for receiving or making referrals.

**Rule 2b:** Certificants shall exercise all reasonable precautions to avoid injury to persons in the delivery of professional services or execution of research.

**Rule 2c:** Certificants shall not provide services except in a professional relationship, and shall not discriminate in the provision of services to individuals on the basis of sex, race, religion, national origin, sexual orientation, or general health.

**Rule 2d:** Certificants shall provide appropriate supervision and assume full responsibility for services delegated to supportive personnel. Certificants shall not delegate any service requiring professional competence to unqualified persons.

**Rule 2e:** Certificants shall not permit personnel to engage in any practice that is a violation of the Code of Ethics.

**Rule 2f:** Certificants shall maintain professional competence, including participation in continuing education.

### PRINCIPLE 3:

Certificants shall maintain the confidentiality of the information and records of those receiving services or involved in research.

**Rule 3a:** Certificants shall not reveal to unauthorized persons any professional or personal information obtained from the person served professionally, unless required by law.

### PRINCIPLE 4:

Certificants shall provide only services and products that are in the best interest of those served.

**Rule 4a:** Certificants shall not exploit persons in the delivery of professional services.

**Rule 4b:** Certificants shall not charge for services not rendered.

**Rule 4c:** Certificants shall not participate in activities that constitute a conflict of professional interest.

**Rule 4d:** Certificants using investigational procedures with patients, or prospectively collecting research data, shall first obtain full informed consent from the patient or guardian.

### PRINCIPLE 5:

Certificants shall provide accurate information about the nature and management of communicative disorders and about the research projects, services and products offered.

**Rule 5a:** Certificants shall provide persons served with the information a reasonable person would want to know about the nature and possible effects of services rendered, products provided or research being conducted.

**Rule 5b:** Certificants may make a statement of prognosis, but shall not guarantee results, mislead, or misinform persons served or studied.

**Rule 5c:** Certificants shall conduct and report product-related research only according to accepted standards of research practice.

**Rule 5d:** Certificants shall not carry out teaching or research activities in a manner that constitutes an invasion of privacy, or that fails to inform persons fully about the nature and possible effects of these activities, affording all persons informed free choice of participation.

**Rule 5e:** Certificants shall maintain documentation of professional services rendered.

### PRINCIPLE 6:

Certificants shall comply with the ethical standards of the American Board of Audiology with regard to public statements or publication.

**Rule 6a:** Certificants shall not misrepresent their educational degrees, training, credentials, or competence. Only degrees earned from regionally accredited institutions in which training was obtained in audiology, or a directly related discipline, may be used in public statements concerning professional services.

**Rule 6b:** Certificants' public statements about professional services, products, or research results shall not contain representations or claims that are false, misleading, or deceptive.

### PRINCIPLE 7:

Certificants shall honor their responsibilities to the public and to professional colleagues.

**Rule 7a:** Certificants shall not use professional or commercial affiliations in any way that would limit services to or mislead patients or colleagues.

**Rule 7b:** Certificants shall inform colleagues and the public in a manner consistent with the highest professional standards about products and services they have developed or research they have conducted.

### PRINCIPLE 8:

Certificants shall uphold the dignity of the profession and freely accept the ABA's self-imposed standards.

**Rule 8a:** Certificants shall not violate these Principles and Rules, nor attempt to circumvent them.

**Rule 8b:** Certificants shall not engage in dishonesty or illegal conduct that adversely reflects on the profession.

**Rule 8c:** Certificants shall inform the Board of Governors when there are reasons to believe that a certificant of the American Board of Audiology may have violated the Code of Ethics.

**Rule 8d:** Certificants shall fully cooperate with the Board of Governors in any matter related to the Code of Ethics.